

Guarantee SBLC Advised - Claim Settlement User Guide  
**Oracle Banking Trade Finance Process  
Management**

Release 14.7.0.0.0

**Part No. F73628-01**

November 2022

Oracle Banking Trade Finance Process Management - Guarantee SBLC Advised- Claim Settlement User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

## Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Guarantee SBLC Advised - Claim Settlement

As a part of Guarantee SBLC Advised Claim Settlement process, the user can initiate Settlement of Claim under a Guarantee/SBLC Advise.

This section contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

## Common Initiation Stage

The user can initiate the new settlement for a claim lodged under a Guarantee/SBLC advised request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the Oracle OBTFPM 'Initiate Task' screen. The page title is 'Initiate Task'. The breadcrumb is 'Registration'. The 'Process Name' dropdown is set to 'Guarantee Claim' and the 'Branch' dropdown is set to '300-International Payments-Fast...'. There are 'Proceed' and 'Clear' buttons on the right. The left sidebar menu has 'Initiate Task' highlighted with a red box.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

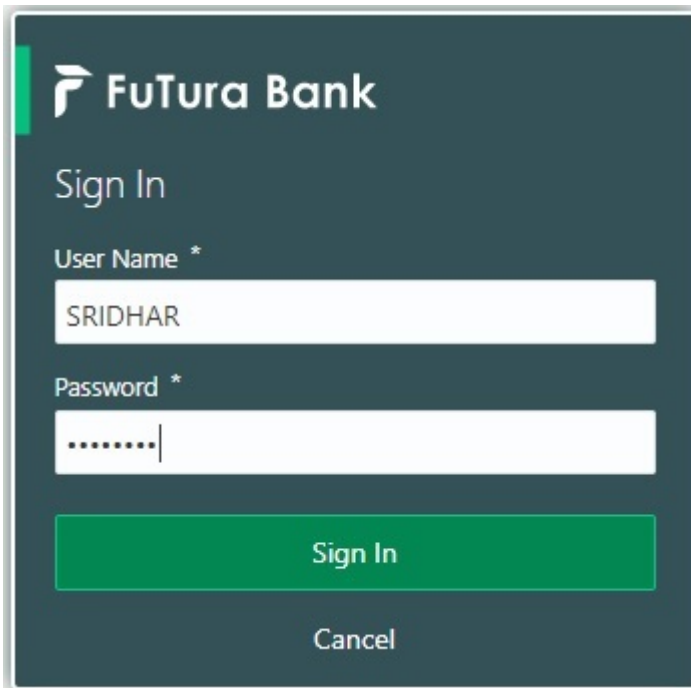
During the Registration stage, the user can register settlement of claim under a Guarantee/SBLC Advised.

In this stage the user can initiate Settlement of Claim under a Guarantee/ SBLC Advised. The user can capture the basic details of the application.

The OBTfPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTfPM verifies the field 21 and 26E ( of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTfPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for registration stage, login to the OBTfPM application.

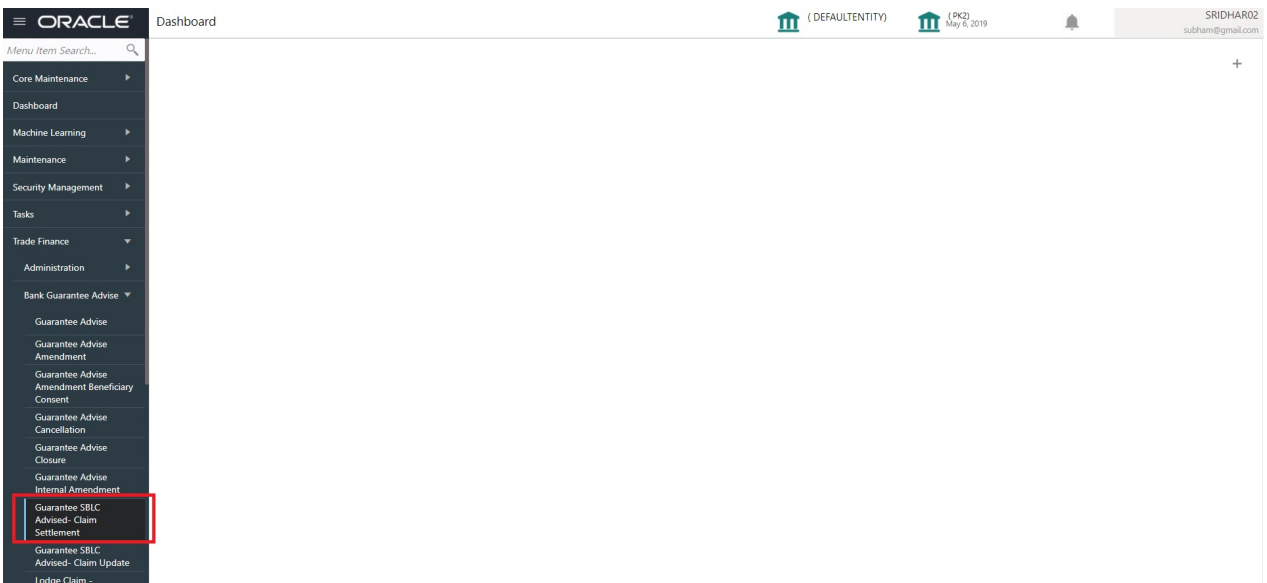


The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button is positioned below the password field, and a 'Cancel' link is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Advise> Guarantee SBLC Advised - Claim Settlement.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

## Application Details

Guarantee SBLC Advised- Claim Settlement
Documents
Remarks
Customer Instruction

### Application Details - Main

Guarantee/SBLC Number PK2GUAD211250001	Claim Serial Number 6	Beneficiary ID/Name 001044 GOODCARE PLC	Branch PK2-PK2-Oracle Banking Trade F...
Process Reference Number PK2GADC000007155	Priority Medium	Submission Mode Desk	Claim Settlement Date May 5, 2021
Beneficiary Reference Number PK2GUAD211250001	Issuing Bank 003763 CITIBANK IRELA	Issuing Bank Reference Number	Version 1

### Guarantee Details


Guarantee Type	30 Date of Issue May 5, 2021	Purpose of Message ADVI	23B Expiry Type COND
31E Date of Expiry Aug 3, 2021	Claim Date May 5, 2021	Claim Expiry Date Aug 3, 2021	Outstanding Currency/ Amount GBP £19,000.00
40C Applicable Rules Others	Applicant Bank	50 Applicant 001043 MARKS AND SPI	59A Beneficiary 001044 GOODCARE PLC
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Accountee	Claim Amount GBP £2.00	Claim Payment Amount GBP	Unlinked FX rate

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Claim Serial Number	User can enter the claim serial number to which update has to be done.	
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC Advise.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Advise.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS00001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High



Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Advise request. By default the submission mode will have the value as 'Desk'. <b>Desk</b> - Request received through Desk <b>Fax</b> - Request received through Fax <b>Email</b> - Request received through Email	Desk
Claim Settlement Date	By default, the application will display branch's current date for the claim settlement date. Read only field.   <b>Note</b> Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	User can enter the Beneficiary Reference Number if available.	
Issuing Bank	System defaults the Issuing Bank (applicable for CTB,LTB)	
Issuing Bank Reference Number	Read only field. System defaults the Issuing Bank Reference (applicable for CTB,LTB)	203GTEISS000 001134
Version	System defaults the version number.	

## Guarantee Details

Registration user can provide Guarantee details in this section.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ Standby Advised.	ADVP

Field	Description	Sample Values
Date of Issue	Read only field. System defaults the value from Guarantee/ Standby Advised.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ Standby Advised.	
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ Standby Advised.	
Date Of Expiry	Expiry date of the Guarantee Adise. System defaults the expiry date from Guarantee/ Standby Advised.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ Standby Advised.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ Standby Advised.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ Standby Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ Standby Advised.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ Standby Advised.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ Standby Advised.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ Standby Advised.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advised.	

Field	Description	Sample Values
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank from Guarantee/ Standby Advised.	
Accountee	Read only field. System defaults the accountee name if available.	
Claim Amount	User can enter the claim amount.	
Claim Payment Amount	User can enter the claim payment amount.	
Unlinked FX rate	If claim currency is different from local currency system will display the unlinked FX rate.	

## Miscellaneous

Guarantee SBLC Advised- Claim Settlement

Documents Remarks Customer Instruction

Application Details - Main

Guarantee/SBLC Number: PK2GUAD211250001

Claim Serial Number: 6

Beneficiary ID/Name: 001044 GOODCARE PLC

Branch: PK2-PK2-Oracle Banking Trade F...

Process Reference Number: PK2GADC000007155

Priority: Medium

Submission Mode: Desk

Claim Settlement Date: May 5, 2021

Beneficiary Reference Number: PK2GUAD211250001

Issuing Bank: 003763 CITIBANK IRELA

Issuing Bank Reference Number:

Version: 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type:

30 Date of Issue: May 5, 2021

Purpose of Message: ADVI

23B Expiry Type: COND

31E Date of Expiry: Aug 3, 2021

Claim Date: May 5, 2021

Claim Expiry Date: Aug 3, 2021

Outstanding Currency/ Amount: GBP £19,000.00

40C Applicable Rules: Others

Applicant Bank:

50 Applicant: 001043 MARKS AND SP

59A Beneficiary: 001044 GOODCARE PLC

Advising Bank:

Advise Through Bank:

Counter Guarantee Issuing Bank:

Local Guarantee Issuing Bank:

Claim Amount: GBP £2.00

Claim Payment Amount: GBP

Unlinked FX rate:

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	User can enter the additional information regarding the Claim Guarantee Advise Settlement. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	The Task gets cancelled and system should clear the details captured in the screen. The task will be deleted.	
Save & Close	<p>Save the information provided and holds the task in 'My Task' for working later.</p> <p>This option will not submit the request.</p>	
Submit	<p>On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <ol style="list-style-type: none"> <li>1. Signatures on Claim verified</li> <li>2. Mandatory claim Documents received</li> </ol>	

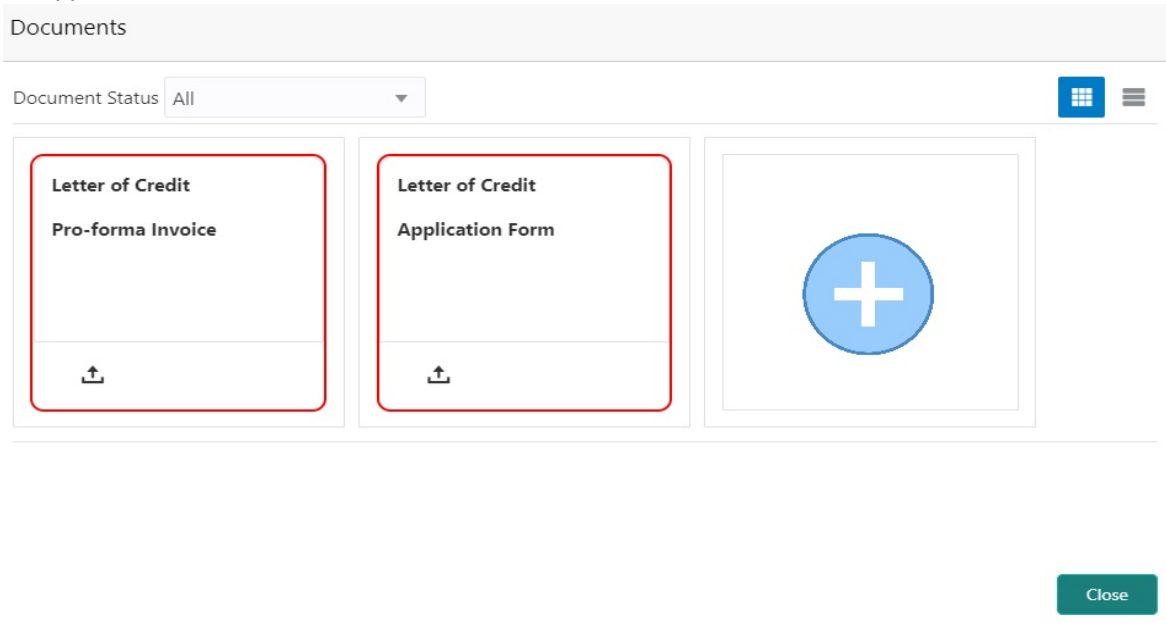
## Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

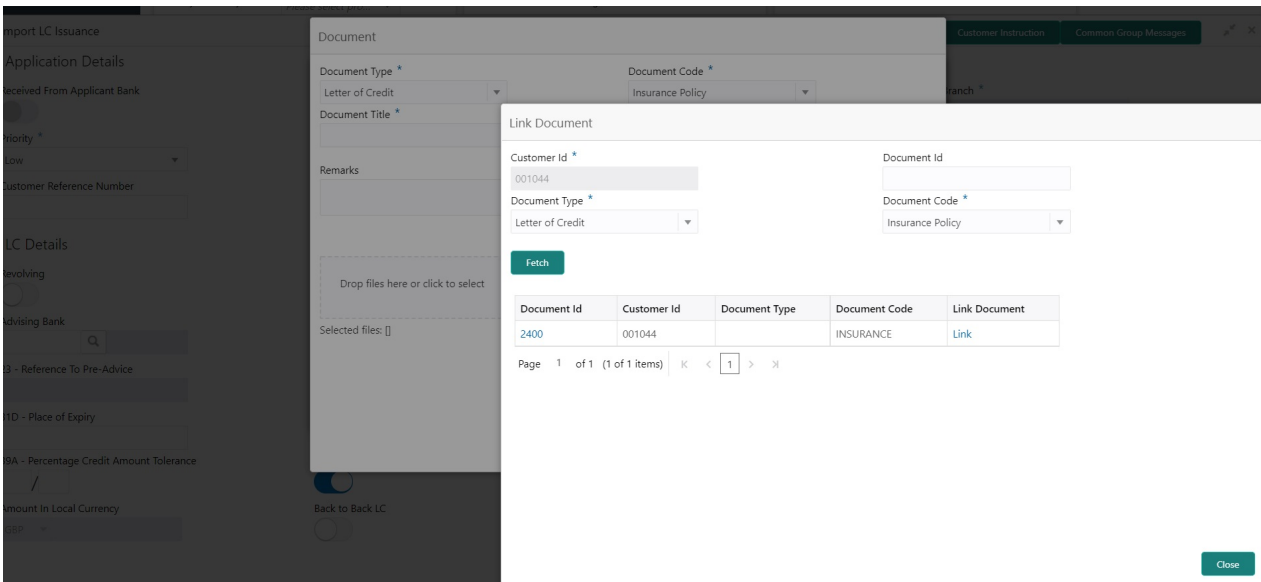
<p>Document Type *  <input type="text" value="Letter of Credit"/></p> <p>Document Title *  <input type="text"/></p> <p>Remarks  <input type="text"/></p> <div style="border: 1px dashed #ccc; padding: 5px; margin-top: 10px;">             Drop files here or click to select         </div> <p>Selected files: []</p>	<p>Document Code *  <input type="text" value="Insurance Policy"/></p> <p>Document Description  <input type="text"/></p> <p>Document Expiry Date  <input type="text" value=""/></p>
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[Link Document](#)

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	

Field	Description	Sample Values
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.  
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

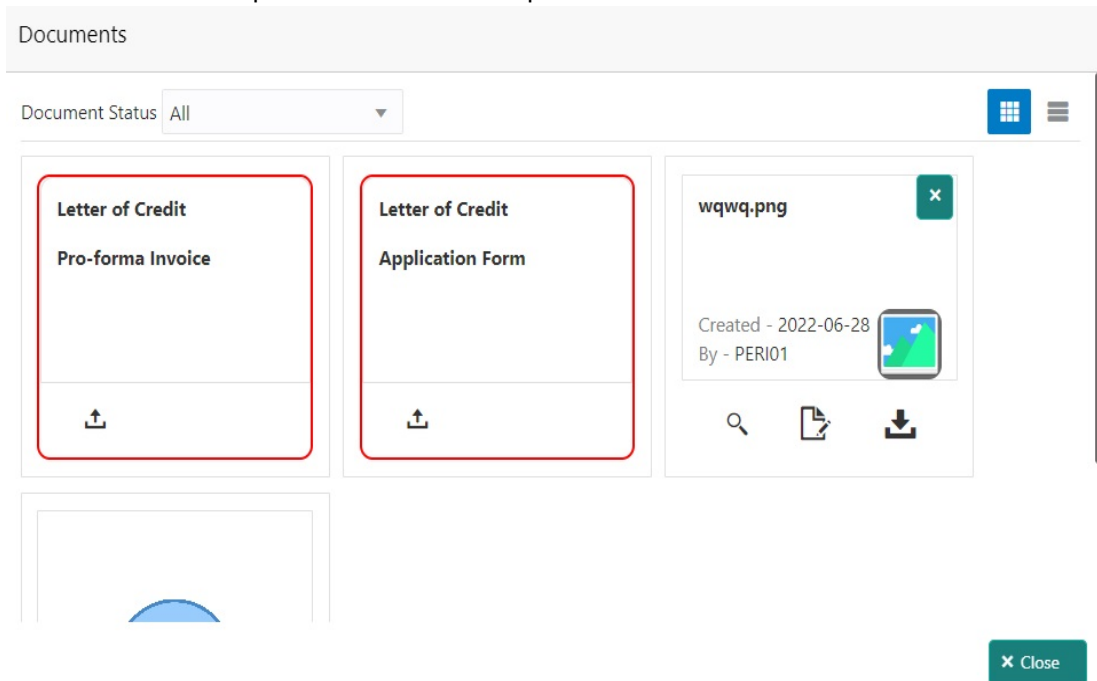


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
<b>Search Result</b>		
Document ID	This field displays the document Code from meta data.	

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

Document Id: 2400

Document Title: wqwq

Application Reference Number: PK2ILCI000019041

Entity Reference Number: PK2ILCI000019041

Document Type Id: TFPM\_DOCTYPE001

Document Description:

Remarks:

Document Expiry Date: Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update Cancel

## Data Enrichment

On successful completion of Registration of a Guarantee SBLC Advise - Claim settlement request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim settlement request are scrutinized and enter the data as required.



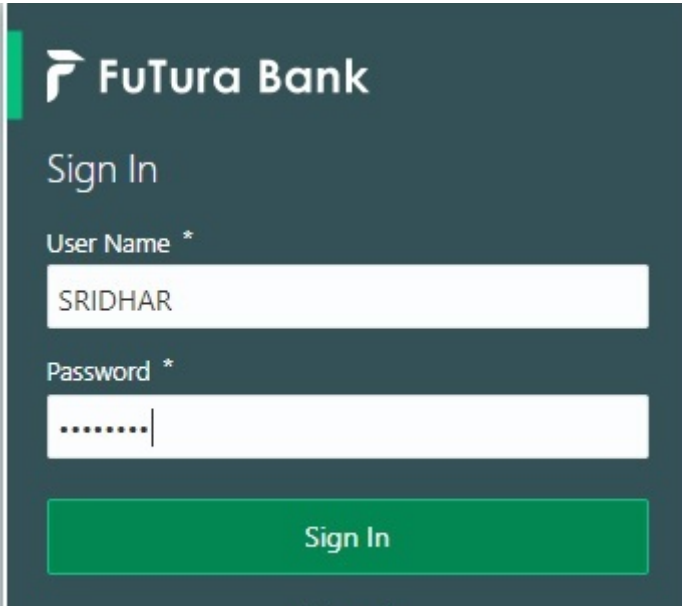
### Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

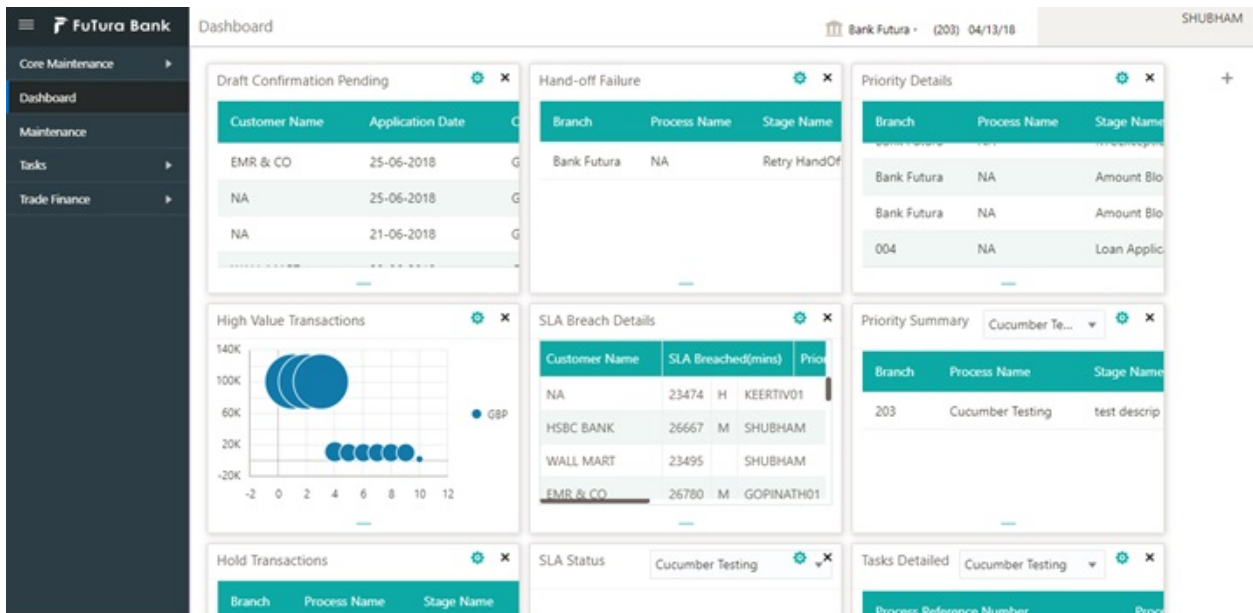


1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name \*" containing the text "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The screenshot displays the FuTura Bank dashboard for user SHUBHAM. The dashboard is composed of several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name" and "Application Date".
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name".
- High Value Transactions:** A bubble chart showing transaction values on the y-axis (ranging from -20K to 140K) and a time-based x-axis (ranging from -2 to 12).
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority".
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing" with a dropdown arrow.
- Tasks Detailed:** A widget showing "Cucumber Testing" with a dropdown arrow.

The dashboard includes a left-hand navigation menu with options like "Core Maintenance", "Dashboard", "Maintenance", "Tasks", and "Trade Finance". The top right corner shows the user's name "SHUBHAM" and the current date "04/13/18".

### 3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Numl
<input type="checkbox"/> Acquire & E...	M	Guarantee SBLC Advised -Claim Settlem...	PK2GADC000056436	PK2GADC000056436	DataEnrichment	21-05-20	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Shipping Guarantee Issuance	PK2SGTI000056434	PK2SGTI000056434	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import Documentary Collection Liquidat...	PK2IDCL000056433	PK2IDCL000056433	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Shipping Guarantee Issuance	PK2SGTI000056430	PK2SGTI000056430	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000056427	PK2ILCI000056427	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise Amendment Beneficia...	PK2GTEA000056424	PK2GTEA000056424	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Amendment	PK2ELCA000056417	PK2ELCA000056417	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	007GTEI000056412	007GTEI000056412	Scrutiny	21-05-19	PK2	001061
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	PK2ELCA000056408	PK2ELCA000056408	Registration	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	PK2GTEI000056397	PK2GTEI000056397	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	PK2GTEI000056392	PK2GTEI000056392	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim - Guarantee Issued	PK2GTEC000056383	PK2GTEC000056383	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance Amendment Benefici...	PK2GTEI000056382	PK2GTEI000056382	DataEnrichment	21-05-19	PK2	000153
<input type="checkbox"/> Acquire & E...	M	Guarantee SBLC Advised-Claim Update	PK2GADC000056377	PK2GADC000056377	AmountBlock Execution App	21-05-10	PK2	001044

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### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Numl
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee SBLC Advised -Claim Settlem...	PK2GADC000056436	PK2GADC000056436	DataEnrichment	21-05-20	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Shipping Guarantee Issuance	PK2SGTI000056434	PK2SGTI000056434	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import Documentary Collection Liquidat...	PK2IDCL000056433	PK2IDCL000056433	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Shipping Guarantee Issuance	PK2SGTI000056430	PK2SGTI000056430	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000056427	PK2ILCI000056427	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise Amendment Beneficia...	PK2GTEA000056424	PK2GTEA000056424	DataEnrichment	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Amendment	PK2ELCA000056417	PK2ELCA000056417	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	007GTEI000056412	007GTEI000056412	Scrutiny	21-05-19	PK2	001061
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	PK2ELCA000056408	PK2ELCA000056408	Registration	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	PK2GTEI000056397	PK2GTEI000056397	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance	PK2GTEI000056392	PK2GTEI000056392	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim - Guarantee Issued	PK2GTEC000056383	PK2GTEC000056383	Scrutiny	21-05-19	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Guarantee Issuance Amendment Benefici...	PK2GTEI000056382	PK2GTEI000056382	DataEnrichment	21-05-19	PK2	000153
<input type="checkbox"/> Acquire & E...	M	Guarantee SBLC Advised-Claim Update	PK2GADC000056377	PK2GADC000056377	AmountBlock Execution App	21-05-10	PK2	001044

Page 1 of 192 (1 - 20 of 3835 items) | K < 1 2 3 4 5 ... 192 > X

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Edit	M	Guarantee SBLC Advised -Claim Settlem...	PK2GADC000056436	PK2GADC000056436	DataEnrichment	21-05-20	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Advised-Claim Update	PK2GADC000055972	PK2GADC000055972	DataEnrichment	21-05-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Advised-Claim Update	PK2GADC000055971	PK2GADC000055971	Registration	21-05-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Advised-Claim Update	PK2GADC000055970	PK2GADC000055970	Registration	21-05-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Advised-Claim Update	PK2GADC000055969	PK2GADC000055969	Registration	21-05-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Advised-Claim Update	PK2GADC000055968	PK2GADC000055968	Registration	21-05-11	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Advised-Claim Update	PK2GADC000055966	PK2GADC000055966	DataEnrichment	21-05-10	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Issuance -Claim Settlem...	PK2GISC000055789	PK2GISC000055789	DataEnrichment	21-05-07	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee SBLC Issuance-Claim Update	PK2GISC000054457	PK2GISC000054457	DataEnrichment	21-04-27	PK2	001044
<input type="checkbox"/> Edit	M	Import LC Drawing Update	PK2ILCU000051310	PK2ILCU000051310	Registration	21-04-12	PK2	001044
<input type="checkbox"/> Edit	M	Import LC Drawing	PK2ILCD000051283	PK2ILCD000051283	Registration	21-04-12	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Advise	000GTEA000049000	000GTEA000049000	Scrutiny	21-03-12	PK2	001044
<input type="checkbox"/> Edit	M	Guarantee Issuance Amendment Benefici...	PK2GTEI000048867	PK2GTEI000048867	Registration	21-03-10	PK2	000153
<input type="checkbox"/> Edit	M	Import Documentary Collection Liquidat...	PK2IDCL000048876	PK2IDCL000048876	Registration	21-03-10	PK2	000153

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The Data Enrichment stage has five sections as follows:

- Main Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage.

## Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

## Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee/ SBLC Claim update DE stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	

## Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
View Undertaking	Clicking this button allows the user should to view the undertaking details.	





## Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Advise. User can update if required.	



Field	Description	Sample Values
Party ID	Value be defaulted from Guarantee /SBLC Advise. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Advise.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.  In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.  In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Additional Details

As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

The screenshot displays the Oracle Banking Trade Finance application interface. The main header shows the Oracle logo and user information: (DEFAULTENTITY), Oracle Banking Trade Finan, May 24, 2021, ZARTAB02, subham@gmail.com. The page title is 'Guarantee SBLC Advised - Claim Settlement' with 'DataEnrichment :: Application No:- PK2GADC000025307'. A navigation bar includes buttons for Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Common Group Messages, Incoming Message, and View Undertaking. The 'Additional Details' section is active, showing four panels: Limit & Collateral, Charge Details, Preview Message, and Payment Details. Below these is an 'FX Linkage' panel. The bottom of the screen features an 'Audit' button and a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Limit & Collateral	Charge Details	Preview Message	Payment Details
Contribution Currency : Contribution Amount : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : <b>GBP 150</b> Commission : Tax : Block Status : <b>Not Initiated</b>	Language : Preview Message : -	Component : Contract Currency : Amount :

FX Linkage
FX Reference Number : Contract Currency : Linked Amount :

## Commission, Charges and Taxes

On landing the Additional Details section, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

## Commission Details

The values gets defaulted, In the Commission Details section, If default commission is maintained under the product.

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission has to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	

Field	Description	Sample Values
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the user changes the defaulted Commission to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

### Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>If the user changes the defaulted charging to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. User can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	

Field	Description	Sample Values
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Standby Advised captured in the previous screen.

The screenshot shows a 'Preview Message' dialog box with two main sections:

- Preview - SWIFT Message:**
  - Language: English
  - Message Type: 730
  - Preview Message content:

```
{1:F01AAEMNL21A0001111111111}
{2:I730CITIIGB2LXRRRN}
{3:I108:1072153878070165}
{4:
:20:PK2GUAD19081ASXT
:21:CITIIGB2LRRR
:30:190506
-}
```
- Preview - Mail Advice:**
  - Language: English
  - Advice Type: FIXNETIX
  - Preview Message content:

```
Debit Advice
-----
11-JAN-20

FIXNETIX
FIXNETIX
PKBANK41XXX
```

At the bottom right of the dialog, there are two buttons: 'Save & Close' and 'Close'.

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

## Payment Details

As part of DE, the bank user can capture the payment details under a claim. The user can also be able to input the transaction details.

The user can scrutinize the claim settlement request and input data as required.

The screenshot shows a 'Payment Details' window with the following sections:

- PaymentDetails:** Includes a 'Liquidate using Collateral' radio button, 'Outstanding Collateral Amount' field, 'Settle Available Amount' radio button, and a 'Split Settlement' toggle switch.
- Settlement Details:** A table with columns: Component, Currency, Debit/Credit, Account, Account Description, Branch, Account Currency, Original Exchange Rate, Exchange Rate, Deal Reference Number. Row 1: CLAIM\_SETTLE\_AMT, GBP, Credit, PK20010, MARKS AND SPENCER, PK2, GBP.
- Split Settlement:** A table with columns: Component, Contract Currency, Amount. Row 1: CLAIM\_SETTLE\_AMT, GBP.
- Split Settlement Details:** A table with columns: Select, Sequence, Amount, Settlement Account, Account Customer, Account Currency, Account Branch, Original Exchange Rate, Exchange Rate, Deal Reference Number. Row 1: [checkbox], 1, [input], PK1000331017, 000331, GBP, PK1.

Buttons at the bottom right include '+', '-', 'Fetch Exchange Rate', 'Save & Close', and 'Close'.

Provide the payment details based on the description in the following table:

Field	Description	Sample Values
Liquidate using Collateral	If the claim settlement has to be paid, vide collateral the user has to select the Liquidate using collateral. User can liquidate using collateral only if collateral has been mapped at the time of Guarantee Issuance. In case collateral not mapped during issuance, user cannot add collaterals and use the same during liquidation.	



Field	Description	Sample Values
Outstanding Collateral Amount	Read Only field. System defaults the outstanding collateral amount (if mapped).	
Settle Available Amount	If partial settlement amount is debited from applicant and the balance have to be settled against loan, user to select this option.	
Split Settlement	<b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill <b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Settlement Details		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	
Split Settlement If the proceeds under the claim has to be settled between multiple parties the split settlement screen can be used.		
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		

Field	Description	Sample Values
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the split settlement.	
Deal Reference Number	The exchange deal reference number.	
Action	Click Edit icon to edit the split settlement details record.  Click Delete icon to delete the split settlement details record.	

## FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

The screenshot shows the 'FX Linkage' application window. It contains a table with the following data:

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
000FNDF20076APGT	USD	GBP	£10,000.00	7.1055	£10,000.00			Mar 23, 2020	[Edit] [Delete]

Below the table, there is a summary section:

Average FX Rate  
0

At the bottom right of the window, there are buttons for 'Save & Close' and 'Close'.

FX Linkage
✕

FX Reference Number \*  
000FNDF20076A9NB

Contract Amount  
USD ▼ \$100.00

Linkage Amount \*  
USD ▼ \$100.00

Amount in Contract Currency  
76.34

FX Delivery Period From

Currency  
USD

Available Contract Amount  
USD ▼ \$100.00

Rate  
1.31

FX Expiry Date  
Mar 20, 2020

FX Delivery Period To

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at guarantee would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Guarantee Claim.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Guarantee Claim currency should be Sold currency for claim settlement for Guarantees Issued.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linkage Amount	<p>Sum of Linked amount will not be greater than Guarantee Claim Settlement amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	

Field	Description	Sample Values
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
Current Utilized amount	This field displays the the already utilized amount. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>Click Next to move to next logical step in Data Enrichment stage.</p>	
Documents	<p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

## Settlement Details

The screenshot displays the Oracle Banking Trade Finance Settlement Details screen. The main content is a table with the following data:

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference
AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AVL_SET_LCAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes	1		
CLAIM_CUST_AMT_FX	GBP	Debit	PK2003763016	CITIBANK IRELAND	GBP	No	Yes			
CLAIM_CUST_AMT_S01	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Read only field. System defaults the value from Guarantee /SBLC claim.	
Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	

Field	Description	Sample Values
Debit/Credit	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Description	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Netting Indicator	Read only field. System defaults the value from Guarantee /SBLC claim.	
Current Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

### Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>	

Field	Description	Sample Values
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

### Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

### Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	



## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.  In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.  In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Summary

User can review the summary screen for Guarantee /Standby Advise - Claim settlement request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

The screenshot shows the Oracle Summary screen for a Guarantee SBLC Advised - Claim Settlement request. The application number is PK2GADC000056436. The summary is displayed in a grid format with the following tiles:

Main	Additional Fields	Advices	Commission, Charges and taxes
Booking Date : 2019-05-06 Submission Mode : Desk Amount : GBP 1000	Click here to view : Additional fields	Advice 1 : Advice 2 :	Charge : GBP100 Commission : Tax : Block Status : Not Initia
Preview Messages	Payment Details	Settlement Details	Party Details
Language : ENG Preview Message : -	Advance by Loan : Liquidate using : Collateral :	Component : LCSWIFTAMN Account Number : PK20010440 Currency : GBP	Applicant : MARKS AND Confirming Bank : CITIBANK I Beneficiary : GOODCARE PLC
Compliance	Accounting Details		
KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : GCLM Account Number : 313100001 Branch : PK2		

At the bottom of the screen, there are buttons for Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

## Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML.

**Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Submit	<p>On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	

Field	Description	Sample Values
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

## Multi Level Approval

This stage allows the approver user to approve a Claim settlement under Guarantee Advise Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Claim Amount

£25,000.00
✓

Claim Currency

GBP
✓

Expiry Date

Jan 26, 2021
📅

✓

Refer
Close
Proceed

In Approval, the user can view a snapshot of the amendment made to this transaction. Corresponding to the field the current latest Guarantee value and the new amended value should be displayed.

6. On clicking next, user can see the summary.

## Approval Summary

Free Tasks

(DEFAULTTENITY)
(PK2) May 25, 2019
SRIDHAR02

Guarantee SBLC Advised- Claim Settlement - Approval Task Level :: Application No: PK2GADC000056436

Documents
Remarks
Overrides
Incoming Message
View Undertaking

Summary Screen ( 2 / 2 )

Main	Additional Fields	Advices	Commission, Charges and taxes
Booking Date : <b>2019-05-06</b> Submission Mode : <b>Desk</b> Amount : <b>GBP 1000</b>	Click here to view Additional fields	Advice 1 : Advice 2 :	Charge : <b>GBP100</b> Commission : Tax : Block Status : <b>Success</b>
Preview Messages	Payment Details	Settlement Details	Party Details
Language : <b>ENG</b> Preview Message : -	Advance by Loan : Liquidate using Collateral :	Component : <b>LCSWIFTAMN</b> Account Number : <b>PK20010440</b> Currency : <b>GBP</b>	Applicant : <b>MARKS AND</b> Confirming Bank : <b>CITIBANK I</b> Beneficiary : <b>GOODCARE PLC</b>
Compliance	Accounting Details		
KYC : <b>Verified</b> Sanctions : <b>Verified</b> AML : <b>Verified</b>	Event : <b>GCLM</b> Account Number : <b>313100001</b> Branch : <b>PK2</b>		

Audit

Reject
Hold
Refer
Cancel
Approve

### Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- FX Linkage – User can view the FX Linkages.

- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML

**Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Guarantee Advise approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	



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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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